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Applicant or Patentee: Wlaschin, et al. Client/Matter No. 151931-0015

Serial or Patent No.: 08/633,842 Filed or Issued: April 10, 1996

For: METHOD AND APPARATUS FOR IMPROVED INFORMATION STORAGE AND RETRIEVAL SYSTEM

## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27(c) - SMALL BUSINESS CONCERN

hereby declare that rights under the contract or law have been conveyed to and remain with the small business concern identified bove with regard to the invention, entitled METHOD AND APPARATUS FOR IMPROVED INFORMATION STORAGE AND RETRIEVAL SYSTEM	i nereby deciare that I am	•
NAME OF CONCERN: EEX Information System. Inc. 900 ADDRESS OF CONCERN: 117 E. Colorado Boulevard, Suite 290, Pasadena, California 91105  thereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this tatement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the sersons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are fiffiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.  In the repard to the invention, entitled METHOD AND APPARATUS FOR IMPROVED INFORMATION STORAGE AND METRIEVAL SYSTEM  by inventor(s) Wlaschin, et al.  [] the specification filed herewith  [X] application serial no. 08/633.842  [] patent no.  [] the specification filed herewith  [X] application serial no. 08/633.842  [] patent no.  [] the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not much make the paying the paying the concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small business concern under 37 CFR 1.9(d) or y any concern which would not qualify as a small business concern under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from	[] the owner of the small business concern identified belo	ow:
ADDRESS OF CONCERN: 117 E. Colorado Boulevard, Suite 290, Pasadena, California 91105  hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 prisons. For purposes of this tatement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the presence proposed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are fifiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.  hereby declare that rights under the contract or law have been conveyed to and remain with the small business concern identified bove with regard to the invention, entitled METHOD AND APPARATUS FOR IMPROVED INFORMATION STORAGE AND RETRIEVAL SYSTEM  by inventor(s) Wlaschin, et al. described in [1] the specification filed herewith [X] application serial no. 08/633.842 filed April 10, 1996  [1] patent no.  [2] patent no.  [3] patent no.  [4] the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not unify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from ach named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)  NAME:  [3] INDIVIDUAL  [4] SMALL BUSINESS CONCERN  [5] INDIVIDUAL  [6] SMALL BUSINESS CONCERN  [7] INDIVIDUAL		
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bove with regard to the invention, entitled METHOD AND APPARATUS FOR IMPROVED INFORMATION STORAGE AND RETRIEVAL SYSTEM  by inventor(s) Wlaschin, et al.  [] the specification filed herewith [X] application serial no. 08/633,842  [] patent no.  [] the injust held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)  NAME:  ADDRESS:  [] INDIVIDUAL  [] SMALL BUSINESS CONCERN  [] NONPROFIT ORGANIZATION  acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which tatus as a small entity is not longer appropriate. (37 CFR 1.28(b))  hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief re believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 or Title 18 of the United States Code, and that such willful alse statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which verified statement is directed.  NAME OF PERSON SIGNING: LOUISE J. WANNIER  TITLE OF PERSON OTHER THAN OWNER: President and CEO  ADDRESS OF PERSON SIGNING: 117.E. Colorado Boulevard, Suite 290, Pasadena, California 91105	and reproduced in 37 CFR 1.9(d), for purposes of paying reduced to that the number of employees of the concern, including those of statement, (1) the number of employees of the business concern is persons employed on a full-time, part-time or temporary basis during	fees under section 41(a) and (b) of Title 35, United States Code, its affiliates, does not exceed 500 persons. For purposes of this the average over the previous fiscal year of the concern of the age each of the pay periods of the fiscal year, and (2) concerns are
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As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my notice, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND APPARATUS FOR IMPROVED INFORMATION STORAGE AND RETRIEVAL SYSTEM.

is attached hereto.								
was filed on Apri was filed as PCI	10. 1996 a	s U.S.app	olication Serial N			<del></del>		
applicable to U.S. or	PCT applicatio	n) was am	ended on			on		and (if
I hereby state that I haby any amendment re	ive reviewed and	i understar	nd the contents of	to disclose	information wh	ich is materia	I to the evenir	nation of this
application in accords 119/365 of any foreig application for patent (2) if no priority claim	ence with 37 C. gn application(s or inventor's ce	F.R. 1.56 ) for pater ertificate h	(a) and 35 U.S. it or inventor's aving a filing da	C. 102. ] certificate te (1) befo	hereby claim for listed below and	oreign priority	y benefits unde	ar 35 U.S.C.
PRIOR FOREIGN A	PPLICATION(S	5)						
Number	Country	•	MONTH/Year Filed			•	PRIORITY YES	CLAIMED NO.
I hereby claim the ben as the subject matter of the first paragraph of occurred between the PRIOR U.S. OR PCT	of each of the class U.S.C. 112, filing date of the	laims of the I acknowle of prior ap	us application is edge the duty to	not disclo disclose m	sed in such prio: sterial information	r applications on as defined :	in the manner	provided by
Application Serial No.			MONTH/Year			•	Statu pending, ab	us: patented,
08/383,752  I hereby declare that all are believed to be true make are punishable by false statements may june.	; and further the y fine or imprise	de herein o at these str onment, or	itements were m both, under Sec	ade with ti tion 1001	he knowledge the of Title 18 of the	at willful false United States	statements and	d the like so
And I hereby appoint number (310) 277-101 Kuyper, Registration No. Liu, Registratoin No. my attorneys to transaction in New York (1) INVENTOR'S SIGNAT Inventor's Name (typed)	V (to whom all No. 33,937; Gar 32,827; and Jef ct all business in URE Scott	communic ry Frischli frey L. Bl n the Pater	ations are to be ong, Registration att, Registration at and Trademark	directed) a No. <u>35,51</u> No. 30.24	nd Robert Steinb 5; Robert C. Str 14 (all of the san	erg, Registra awbrich, Reg ne address) in	tion No. 33,14 istration No. 30	4; Bruce D. 6,692; Wen
~O	First	MI	Family Name		Citizenship			
Residence Post Office Addre	Los Angeles ess (Include Zip Co	de) <u>2597 De</u>	ybom Drive, Los A	(State/For	eign Country) 0068			
2) INVENTOR'S SIGNAT		- Mul	Indu	Dato 18	July 199	16		
Inventor's Name (typed)	Robert First	MI	Gordon Family Name		United States Citizenship			
Residence	Los Angeles	. 120.6. 27		_(State/For	eign Country)			
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<ol> <li>INVENTOR'S SIGNATI Inventor's Name (typed)</li> </ol>	URE X Louise	0	Wannier	Date X	United States	996		
00	Find	av	Family Name		Citizenship	<del></del>		
Residence	La Canada	CA	<b></b>	(State/Form	rian Country)			

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## RULE 63 (37 C.F.R. 1.63) DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if phiral names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND APPARATUS FOR IMPROVED INFORMATION STORAGE AND RETRIEVAL SYSTEM

	nternational s	application No.PCT/	/633.842 / oa	and (
licable to U.S. or PC	CT application	n) was amended on		
any amendment refor lication in accordanc /365 of any foreign lication for patent or	med to above to with 37 C. application(s inventor's co	. I acknowledge the duty to dis F.R. 1.56(a) and 35 U.S.C. 10 ) for patent or inventor's certifi	above-identified specification, inc tolose information which is mate 12. I hereby claim foreign prior case listed below and have also before that of the application on	rial to the examination of the ity benefits under 35 U.S.( identified below any forcis
OR FOREIGN APP	LICATION(S	<b>)</b>		
				PRIORITY CLAIME
<b>kar</b>	Country	Day/MONTH/Yest Filed		YES NO
inst paragraph of 35	U.S.C. 112, ing date of th	I acknowledge the duty to disclose prior applications and the nati	lisclosed in such prior application se material information as define onal or PCT international filing	d in 37 C.F.R. 1.56(a) which date of this application:
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